

Toth Financial Privacy Notification

FACTS	WHAT DOES TOTH FINANCIAL ADVISORY CORPORATION (“TOTH FINANCIAL”) DO WITH YOUR PERSONAL INFORMATION?		Rev May 2025
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. We are committed to protecting your privacy. Your personal data is collected and used solely for the purposes described in this policy. Your data will not be transferred to external organizations under any circumstances. We implement appropriate measures to ensure the security and confidentiality of your information. Please read this notice carefully to understand what we do.		
WHAT?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Address and phone number ▪ Social security number ▪ Assets ▪ Risk tolerance ▪ Wire transfer instructions ▪ Transaction history <p>When you are no longer our customer, we continue to retain information about you in accordance with SEC regulations.</p>		
HOW?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Toth Financial chooses to share; and whether you can limit this sharing.		
Reasons we can share your personal information		Does Toth Financial Share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your accounts(s), or respond to court orders and legal investigations.		Yes	No
For our marketing purposes - to offer our products and services to you		No	We don’t share
For joint marketing with other financial companies		No	We don’t share
For our affiliates’ everyday business purposes - information about your transactions and experiences		Yes	No
For our affiliates to market to you		Yes	Yes
For nonaffiliates to market to you		No	We don’t share
For SMS communication - You have the right to opt out of receiving SMS communications from us at any time. If you wish to opt out of receiving SMS messages from us, you may do so at any time by replying with the keywords STOP or UNSUBSCRIBE to any SMS message you receive.		No	We don’t share
Questions?	Contact Ken Bowen 703-443-8684 (phone) or ken@tothfinancial.com (email)		
What we do			
How does Toth Financial protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secure files and buildings. Your data will not be shared with third parties for any marketing purposes.		
How does Toth Financial collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ▪ Enter into an investment advisory contract ▪ Seek financial advice ▪ Make deposits or withdrawals from your account ▪ Tell us about your investment or retirement portfolio 		
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ▪ sharing for affiliates’ everyday business purposes—information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>		
Definitions			
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>Our affiliates include companies such as Toth & Associates, Inc.</i> 		
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>Toth Financial does not share with nonaffiliates so they can market to you.</i> 		
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ▪ <i>Toth Financial does not jointly market.</i> 		